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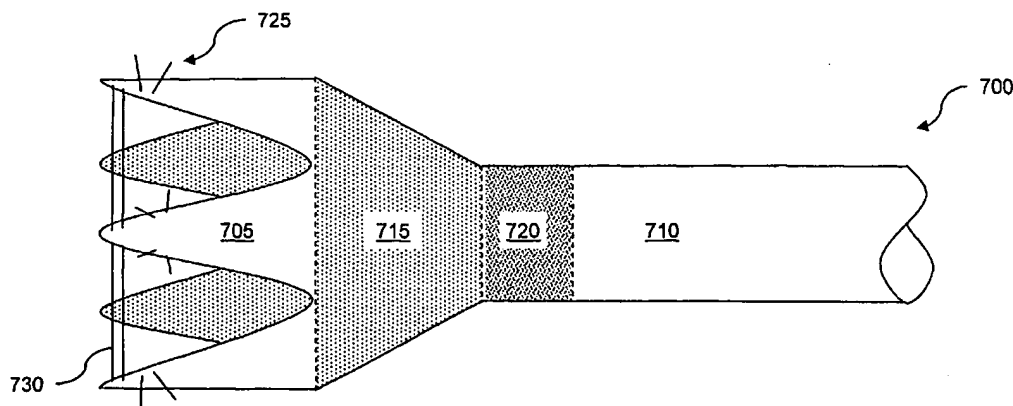
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(54) Title: EVERSION RESISTANT SLEEVES



(57) Abstract: The invention relates to improved means for preventing eversion and subsequent obstruction of thin-walled, floppy gastrointestinal liners implanted in the digestive tract of an animal. The implantable devices include an anchor (705) adapted for attachment within a natural body lumen and a thin-walled, floppy sleeve (710) open at both ends and defining a lumen therebetween. A substantial length of the sleeve has material characteristics that result in the sleeve being prone to eversion in the presence of retrograde pressures. Exemplary eversion-resistant features provide an increased stiffness and/or an increased friction coefficient between the anchor and the proximal end of the sleeve to resist eversion. In some embodiments, the eversion-resistant feature includes an anti-buckling element, such as a wire coupled along the substantial length of the sleeve.

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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## EVERSION RESISTANT SLEEVES

## RELATED APPLICATIONS

This application is a continuation of and claims priority to U.S. Patent Application No. 11/147,984 filed on June 8, 2005, which claims the benefit of U.S. Provisional Application No. 60/645,296 filed on January 19, 2005. The entire teachings of the above applications are incorporated herein by reference.

## BACKGROUND OF THE INVENTION

According to the Center for Disease Control (CDC), over sixty percent of the United States population is overweight, and almost twenty percent are obese, presenting an overwhelming health problem. Moreover, obesity-related conditions cause as many as 280,000 deaths per year, generate \$51 billion in annual US healthcare costs, and cause Americans to spend \$33 billion per year on weight loss products. For example, one of the principle costs to the healthcare system stems from the co-morbidities associated with obesity. Type-2 diabetes has climbed to 7.3% of the population. Of those persons with Type-2 diabetes, almost half are clinically obese, and two thirds are approaching obese. Other co-morbidities include hypertension, coronary artery disease, hypercholesteremia, sleep apnea and pulmonary hypertension.

Two surgical procedures commonly performed that successfully produce long-term weight loss are the Roux-en-Y gastric bypass and the biliopancreatic diversion with duodenal switch (BPD). Both procedures reduce the size of the stomach plus shorten the effective-length of intestine available for nutrient absorption. However, these are serious surgical procedures with significant side effects, and thus they are reserved for the most morbidly obese.

Other devices to reduce absorption in the small intestines have been proposed (See U.S. Patent Number 5,820,584 (Crabb), U.S. Patent Number 5,306,300 (Berry) and U.S. Patent Number 4,315,509 (Smit)). However, these devices are yet to be successfully implemented.

Meade et al., U.S. Utility Application Ser. No. 10/858,851, filed June 1, 2004; the entire teachings of which are incorporated herein by reference). It is important in any intestinal sleeve application to maintain patency of the device. When a sleeve is subjected to retrograde pressure, the sleeve may tend to evert (i.e., fold inward upon  
5 itself). Such eversions are undesirable and may lead to blockage, sleeve damage, and related complications. Thus, further improvements are desired to more fully realize the advantages which can be provided by gastrointestinal sleeves while minimizing any risk of complications.

#### SUMMARY OF THE INVENTION

10 There is a need for liners implantable within natural body lumens of an animal body. Moreover, there is a need for implantable sleeves that are thin-walled and floppy, yet resistant to eversion.

This invention relates to improved methods and devices for preventing eversion and subsequent obstruction of a thin-walled, floppy sleeve implant,  
15 anchored within a natural lumen of an animal body. The device may include an anchor adapted for attachment within a natural body lumen and a thin-walled, floppy sleeve open at both ends and defining a lumen therebetween. A substantial length of the sleeve material has one or more characteristics that result in the sleeve being prone to eversion. Such characteristics include thinness, floppiness and a low  
20 friction coefficient.

A particular application is anchoring gastrointestinal liners within the small intestine of an animal body. In some embodiments, the device includes an eversion-resistant feature disposed between the anchor and the proximal end of the sleeve adapted to inhibit eversion of the sleeve in the presence of retrograde pressures. The  
25 eversion-resistant feature may provide an increased stiffness relative to the sleeve's stiffness. Some ways of increasing stiffness include providing a different material that is stiffer than the sleeve itself. Alternatively, or in addition, the stiffness can be increased by providing an increased wall thickness relative to that of the sleeve. For example, thicker walls can be formed by using more than one layer of material (i.e.,  
30 multiple layers of the sleeve material). Alternatively, or in addition, stiffness can be increased by providing a reinforcing member. For example, one or more soft,

flexible wires can be coupled to the proximal end of the sleeve adjacent to the anchor.

In some embodiments, a surface of the eversion-resistant feature provides an increased coefficient-of-friction relative to that provided by the surface of the floppy sleeve itself. For example, the increased coefficient of friction can be provided using a different material than the sleeve. The different material may include a coating applied to a surface of the device. Alternatively or in addition, the increased coefficient of friction can be provided by texturing at least a portion of a surface of the sleeve. In either instance, the surface may be the interior surface, the exterior surface, or both the interior and exterior surfaces.

To inhibit eccentric eversions, the device may include a centering element adapted to focus collapse of the device just distal to the anchor towards the longitudinal axis of the anchor. For example, the centering element can include a sacrificial proximal portion, referred to as a "crumple zone" coupled to a distal reinforcing portion. The crumple zone is adapted to collapse in the presence of retrograde pressures before any substantial collapse of the reinforcing element.

Alternatively or in addition, the crumple zone can include a tapered segment, such as a tapered cone. The tapered segment defines a proximal opening having a first diameter and a distal opening having a second diameter that is less than the first. Retrograde pressures tend to move the distal opening proximally while tapering inhibits lateral movement towards the walls of the body lumen. Preferably, the eversion-resistant element is adapted to partially collapse upon itself thereby forming a valve allowing flow in an antegrade direction, while prohibiting flow in a retrograde direction, the valve enhancing the eversion-resistance performance of the sleeve.

The invention also relates to methods and devices that include an anchor adapted for attachment within a natural body lumen and a thin-walled, floppy sleeve open at both ends and defining a lumen therebetween. A substantial length of the sleeve has material characteristics that result in the sleeve being prone to eversion. The device also includes an eversion-resistant feature disposed along a substantial length of the sleeve adapted to inhibit eversion of the sleeve in the presence of retrograde pressures. In some embodiments, the eversion-resistant feature includes

an anti-buckling member providing increased stiffness along the length of the sleeve. For example, the anti-buckling member can be a wire coupled along the length of the sleeve.

The invention also relates to methods and devices that include a floppy  
5 sleeve open at both ends defining a lumen therebetween and an anchor adapted for  
attaching at least a proximal portion of the sleeve within the small intestine of an  
animal body. A substantial length of the sleeve has material characteristics that  
result in the sleeve being prone to eversion. The device also includes an eversion-  
resistant feature. In some embodiments the eversion-resistant feature is disposed  
10 along a substantial length of the sleeve and is adapted to inhibit eversion of the  
sleeve in the presence of retrograde pressures. For example, the eversion-resistant  
feature may include an anti-buckling member, such as a wire, providing increased  
stiffness along the length of the sleeve. In other embodiments, the eversion-resistant  
feature is disposed between the anchor and a proximal length of the thin-walled,  
15 floppy sleeve.

#### BRIEF DESCRIPTION OF THE DRAWINGS

The foregoing and other objects, features and advantages of the invention  
will be apparent from the following more particular description of preferred  
embodiments of the invention, as illustrated in the accompanying drawings in which  
20 like reference characters refer to the same parts throughout the different views. The  
drawings are not necessarily to scale, emphasis instead being placed upon  
illustrating the principles of the invention.

FIG. 1A is a cross-sectional diagram of an implantable anchored sleeve;

FIG. 1B is a cross-sectional diagram of the implantable anchored sleeve of  
25 FIG. 1A in a concentrically-everted state;

FIG. 1C is a cross-sectional diagram of the implantable anchored sleeve of  
FIG. 1A in an eccentrically-everted state;

FIGS. 2A and 2B are schematic diagrams of an implantable anchored sleeve  
according to one embodiment of the invention;

30 FIG. 3A is schematic diagram of an eversion pressure measurement test  
setup;

FIG. 3B is schematic diagram of an alternative eversion pressure measurement test setup;

FIGS. 4A and 4B are schematic diagrams of an exemplary implantable anchored sleeve according to one embodiment of the invention having a tapered section;

FIGS. 5A and 5B are schematic diagrams of an exemplary implantable anchored sleeve according to one embodiment of the invention having an eccentric-eversion resistant feature;

FIGS. 6A and 6B are schematic diagrams of an exemplary implantable anchored sleeve according to one embodiment of the invention having a tapered section and an eccentric-eversion resistant feature;

FIGS. 7A and 7B are schematic diagrams of an eccentric eversion measurement test setup;

FIG. 8 is schematic diagram of an exemplary embodiment of an implantable anchored sleeve including an eccentric eversion resistant feature and a wave anchor;

FIG. 9 is cross-sectional schematic diagram of a portion of the gastrointestinal tract illustrating the location of the exemplary implantable sleeve of FIG. 8; and

FIG. 10 is cross-sectional schematic diagram of an exemplary embodiment of an implantable anchored sleeve including an eccentric eversion resistant feature.

## DETAILED DESCRIPTION OF THE INVENTION

A description of preferred embodiments of the invention follows.

This invention relates to a method and device for implanting a sleeve within a natural body lumen of an animal, the sleeve including an anti-eversion feature to inhibit eversion of the sleeve when implanted. In particular, the invention relates to a bypass sleeve adapted for use within the digestive tract of an animal. Some examples of such intestinal implants are described in U.S. Patent Application No. 11/000,099, filed November 30, 2004, and entitled "Bariatric Sleeve"; U.S. Patent Application No. 11/001,794, filed November 30, 2004, and entitled "Methods of Treatment Using a Bariatric Sleeve"; U.S. Patent Application No. 10/726,011, filed December 2, 2003, and entitled "Anti-Obesity Devices"; U.S. Patent Application No. 10/810,317, filed March 26, 2004, and entitled "Enzyme Sleeve"; and U.S.

Patent Application No. 10/811,293, filed March 26, 2004, and entitled "Anti-Obesity Devices" all incorporated herein by reference in their entirety. As illustrated in FIG. 1A, an exemplary gastrointestinal sleeve 100 includes a sleeve anchor 105 coupled to the proximal end of an elongated, thin-walled, floppy sleeve 110. The sleeve is hollow with openings at both ends defining an interior lumen.

In this application, the sleeve is implanted within the intestine, such that chyme flowing within the intestine travels through the interior of the sleeve effectively bypassing that portion of the intestine. Preferably, the sleeve is thin-walled to so as to avoid irritating the intestine. Additionally, the thin-walled sleeve offers little resistance to peristaltic forces. Exemplary wall thicknesses are between 0.0003 and 0.0020 inches (i.e., 0.0076 and 0.051 mm).

Additionally, the sleeve material along the interior surface of the sleeve is smooth and slippery to avoid impeding the flow of chyme within the sleeve. Similarly, the exterior of the sleeve may also be smooth and slippery to promote the flow of material, such as digestive enzymes, between the exterior of the sleeve and the intestine wall. In some embodiments, the coefficient of friction of the sleeve material is about 0.2 or less.

The sleeve anchor is adapted for removable attachment thereby securing at least a proximal portion of the sleeve to the intestine. Although the sleeve may be attached anywhere within the intestine, it is preferably implanted in the small intestine, distal to the pyloric sphincter between the pylorus and the ampulla of Vater, with the attached sleeve extending distally into the intestine for a predetermined length. An example of such a device is described in U.S. Patent Application No. 10/858,851, filed on June 1, 2004 and entitled "Intestinal Sleeve," incorporated herein by reference in its entirety.

Although peristalsis provides a net resulting force directed antegrade from the stomach, there are times in the digestion cycle during which negative pressures or reverse peristalsis may occur. These negative or retrograde pressures may be the result of natural mixing waves, or other processes such as vomiting. The level of such pressures generated within the intestine are not well documented in the literature. Normal peristaltic pressures have been found to spike to 1.5 - 2.0 pounds-per-square-inch gauge (PSIG) (i.e., about 41.5 - 55.4 inches H<sub>2</sub>O). It is expected



that reverse peristalsis could produce similar spikes in pressure. If the pylorus is open, even slightly during this rise in pressure, there exists a driving force to push a gastrointestinal liner (i.e., sleeve) retrograde towards the stomach. Experiments in a porcine model have resulted in occasional vomiting that resulted in sleeve devices  
5 anchored in the duodenum to evert both through and around the anchor into the stomach. Once everted, the sleeve no longer functions and becomes obstructed.

The desirable features of being extremely thin-walled, floppy, and having a low friction coefficient all tend to make an intestinal sleeve more prone to eversion. At least two different eversion modes have been observed. A first eversion mode  
10 illustrated in FIG. 1B is referred to as a concentric eversion and is characterized by at least a portion of the sleeve 110 passing proximally through the center of the sleeve anchor 105. A second eversion mode illustrated in FIG. 1C is referred to as eccentric eversion and is characterized by at least a portion of the sleeve 110 passing proximally between the exterior surface of the anchor 105 and the interior surface  
15 (e.g., the tissue) of the body lumen within which the device 100 is implanted.

Eversions of a sleeve are more prone to occur when there is a relatively stiff section (e.g., the anchor) followed by a flexible section. The stiff section serves as a bending point or pivot for the flexible material resulting in a natural stress concentration. The stiff section must remain open during application of the pressure  
20 so the flexible material has an opening through which to evert. The present invention prevents such undesirable occurrences by providing a design feature that inhibits the sleeves from everting.

Eversion resistance can be accomplished by providing an "eversion resistant" feature. For example, an eversion-resistant feature may be provided at least at the  
25 transition between the anchor and the free sleeve as illustrated in FIG. 2A. As shown, a gastrointestinal implant 200 includes a sleeve anchor 205 at its proximal end followed by an elongated sleeve 210 at its distal end. An eversion-resistant feature 215 is provided at the transition between the anchor 205 and the sleeve 210.

As retrograde force and/or pressure increases, the walls of the eversion-resistant feature 215 may experience a moment of force about a pivot formed at the  
30 intersection of the relatively stiff anchor 205 and the more flexible eversion-resistant feature 215 (i.e., there is a tendency for the device to fold in upon itself as shown in

FIG. 1B). Depending upon the magnitude of the force, the moment may tend to cause at least a partial rotation of the wall of the eversion-resistant feature 215. However, because the eversion-resistant feature 215 is adapted to resist eversion, rotation may be limited to substantially less than 90°. This initial bending phase is referred to herein as a pre-eversion phase and is schematically illustrated as phase I in FIG. 2B.

As the retrograde force and/or pressure increases, bending of the eversion-resistant feature may continue, approaching 90°, until at least some of the interior surfaces of the eversion-resistant feature come into contact with each other. When the interior of the eversion-resistant feature 215 collapses upon itself, it is referred to as a collapsed phase and is schematically illustrated as phase II. It is believed that the resulting structure formed by the at least partially collapsed sleeve provides enhanced eversion-resistance performance. Namely, a collapsed portion of the device gains additional reinforcement from the collapsed region due at least partially to rotated material from one side of the device pushing against similarly rotated material from another side. Thus, further rotation about the pivot of either side is at least partially inhibited by the opposite sides pushing against each other. A similar process is relied upon in reed-type valves sometimes referred to as "duckbill valves." Additionally, to the extent the surface material provides any non-insubstantial frictional coefficient, the resulting frictional force caused by overlapping layers of the material will resist movement of the material against itself and/or its surroundings, thereby inhibiting further eversion.

With an even greater retrograde force and/or pressure, bending of the eversion-resistant feature about the pivot may continue beyond 90°. As shown, the collapsed eversion-resistant feature 215 may begin to advance proximally into the interior aperture of the anchor 205. When a non-insignificant portion of the eversion-resistant feature 215 begins to advance proximally into the interior of the anchor 205, it is referred to as a partial-eversion phase and schematically illustrated as phase III. It is believed that the eversion-resistance performance remains enhanced during this phase as at least a portion of the device remains collapsed upon itself. Thus the reinforcing and/or frictional forces described above remain active. Consequently, there remains only a limited length of the device between the region

of the collapse 215 and the pivot point, which limits partial eversion according to the length of this region. Of course, at sufficient forces and/or pressures, even the eversion-resistant feature will evert.

The eversion performance of a material can be characterized by its eversion  
5 pressure, which is the pressure required to evert a tube formed from the raw  
material. The eversion pressure is a measure of several properties of the material  
being affected at least by the material's stiffness and friction coefficient. Namely,  
raising either or both of a material's stiffness and friction coefficient yields higher  
material eversion pressures. Material stiffness is a function of at least the flexural  
10 modulus or hardness of the material and its wall thickness. The friction coefficient  
is also relevant because as the eversion starts, the material tends to roll at least  
partially upon itself. Once the material overlaps in this manner, any further  
movement requires that the material slide against itself. Thus, higher friction  
coefficient materials tend to increase frictional forces encountered by an everted  
15 sleeve, requiring increased forces to evert the materials once they have folded upon  
themselves.

The eversion-resistant feature may include one or more of the following  
attributes: increased stiffness or column strength, and an increased friction  
coefficient. An increased column strength resists that portion of the device 200  
20 folding upon itself. Preferably, the length of this region 'L' is selected to allow at  
least a portion of the material to collapse fully on itself when a backpressure is  
applied. It is believed that such a collapse of the material forms a valve that can  
resist the pressure when the material is sufficiently stiff. The stiffness of the  
material is selected to promote its collapse and the formation of a valve at pressures  
25 at or near the eversion pressure of the otherwise unmodified raw sleeve material. To  
enable collapse upon itself, the length of the eversion-resistant feature 215 is greater  
than half the diameter of the internal lumen of the anchor 205 (i.e.,  $L > D/2$ ).  
Ideally, the eversion-resistant feature 215 also promotes collapse of the sleeve  
towards the elongated sleeve's central axis to prevent eccentric eversions.

30 One means of increasing the stiffness along the length of the eversion-  
resistant section 215 is to increase the material thickness. Increasing the thickness  
can be accomplished by layering the sleeve material upon itself until the desired

thickness is attained. In some embodiments, the sleeve-anchoring device is encapsulated within two layers of sleeve material. Simply extending the region of the overlap a predetermined distance beyond the anchor itself provides a nice means of combining such functions. Alternatively, the eversion-resistant feature 215 can be formed using a second material having a higher modulus, thereby creating a relatively stiffer section.

Yet another means of increasing the material stiffness is providing reinforcing members coupled to the eversion-resistant section. For example, stiffness is increased by coupling one or more soft guidewires to the sleeve 210. At least one way to couple reinforcing members is to encase them within inner and outer layers of the sleeve material. Such an approach reduces the possibility that the reinforcing member will entrap chyme, impede peristalsis, and irritate the surrounding tissue. The guidewire provides linear stiffness thereby resisting buckling, while still allowing the section 215 to collapse and also providing little resistance to peristalsis. The guidewire is preferably oriented parallel to the central axis of the sleeve. The wire could be a vascular type guidewire commonly used to deliver catheters. These are typically constructed from stainless steel coils and having diameters between about 0.010 and 0.016 (i.e., 0.25 and 0.41 mm).

Materials such as soft, sticky silicone or polyurethane may be used in the anti-eversion feature 215. In some embodiments, one or more less-slippery materials are provided as a coating to the sleeve material. Alternatively or in addition, the friction coefficient of the eversion-resistant feature is increased by including a textured surface. Similarly, as the textured material collapses upon itself and attempts to roll inside out, the textured surface rubs against an adjacent surface to resist further sliding of the materials.

An exemplary embodiment of an implant device includes a sleeve formed from an ePTFE/FEP bi-laminate material available from W. L. Gore & Associates Medical Products Division, Flagstaff, Arizona. The sleeve is formed having an internal diameter of about 1 inch (i.e., about 25 mm) with an unmodified eversion pressure of about 3-7 inches H<sub>2</sub>O. For the purposes of the testing, the length L of the eversion-resistant feature of the device was about 1.25 inches (i.e., about 3.2 cm) long. Additionally, the eversion-resistant feature was linearly tapered along its

length from about 50 mm to about 25 mm in diameter. The number of layers of material used was varied from 2 covering the anchor, to 2 at the transition from the anchor to the tube, to 3 in the tube section. Each layer of material was about 0.0004 inches (i.e., about 0.0102 mm) thick. This construction resulted in an  
5 eversion pressure of the strain relief section of at least 30 inches H<sub>2</sub>O but preferably 40-60 inches H<sub>2</sub>O. Preferably, transition from the anchor to the sleeve is accomplished in a gradual manner. For example, the transition includes staggering the thickness changes.

In some embodiments, the thickness of the eversion-resistant section is 0.002  
10 - 0.004 inches (i.e., about 0.051 to 0.102 mm) and requires about 4 - 8 layers of the base material. This construction results in an eversion pressure of the strain relief section of at least 30 inches H<sub>2</sub>O. Devices have been made with pressures of 60 inches H<sub>2</sub>O. The target specification is preferably between about 35 - 55 inches H<sub>2</sub>O.

15 Animal testing in a porcine model has demonstrated that using a device having a concentric eversion pressure of 30-60 inches H<sub>2</sub>O, eliminated the occurrence of concentric eversions. However, a new failure mode was observed during testing, which is referred to as eccentric eversion. Several attributes of the test devices appeared to contribute to the eccentric eversions.

20 The transition region became substantially stiffer as more layers of material were applied. Also, the surface area of the anchor increased as the relaxed diameter increased from 50 mm to 60 mm. This increases the effective force acting on the anchor legs due to the pressure within the duodenum. With sufficient forces, one or more of the anchor legs can be pushed away from the wall of the duodenum. With  
25 the anchor deformed in this manner, the relatively stiff reinforced sleeve section may bend in the direction of the pressure towards the opening formed by the moved anchor leg. Thus, the net result of increasing the stiffness of the transition region too much for a given stiffness of the anchor can lead to an increased susceptibility to eccentric eversions.

30 Susceptibility to eccentric eversion can be improved by decreasing the relative stiffness of the transition region while maintaining the increased relative stiffness of the proximal sleeve. For example, stiffness of the transition was

decreased by providing only 2 layers of the sleeve material; whereas, the relative stiffness of the first 1-2 inches of the 25 mm tube was increased by adding 3 layers of the same material in that region. Beneficially, the resulting eversion pressure remains between about 30 and 60 inches H<sub>2</sub>O while the likelihood of eccentric  
5 eversions is substantially reduced. Also, the softer transition region promotes collapse of the region concentrically, thereby preventing it from falling towards a side potentially leading to an eccentric eversion.

Thus, an eversion resistant section is formed as a compound element consisting of at least two sections. The first can be a tapered section that transitions  
10 from the 50 mm anchor to the 25 mm sleeve. This section serves several purposes. First, it makes the transition in diameters. Additionally, it serves as a so-called low-pressure "crumple zone." In other words, it collapses concentrically at low pressure without pulling the anchor away from the tissue surfaces. Preferably, the length of the crumple zone is no longer than the length of the anchor to avoid the crumple  
15 zone everting through the anchor. In some embodiments, the length of the crumple zone is about half the diameter of the sleeve. Then the second section is the stiffened sleeve section, which is drawn towards the center of the lumen by the collapse of the crumple zone. This area is stiff and therefore resists concentric eversion. This section may be tapered from 3 layers to 1.

20 Measurement of concentric eversion-threshold pressure can be performed using a water-based test configuration measuring directly the inches of H<sub>2</sub>O required to evert the device. As shown in FIG. 3A, the anchor 305 of a 25 mm diameter device is sealably attached to the interior of a 25 mm diameter silicone tube 320. The attached sleeve 310' is tied off at some distance from the anchor 305 (e.g.,  
25 about 6 inches from the anchor). The closed sleeve is extended within the tube 320 distal to the anchor 305. The tube 320 is bent into a 'U' shape with the device being placed in one of the vertical legs with other vertical leg being left open.

In operation, the tube 320 is partially filled with water from its open end. The water in the tube 320 represents a column of water applied to the distal side of  
30 the anchor 305. The open end of the tube is then raised with respect to the device, such that the potential energy of the displaced water provides a retrograde pressure upon the sleeve 310'. At some height, the sleeve 310" everts through the anchor 305

as shown in phantom. The corresponding height of the water at which the sleeve 310" everted is recorded as the corresponding eversion pressure in inches H<sub>2</sub>O.

Another method of measuring concentric eversion-threshold pressure uses air rather than water. Air is preferred as it does not contaminate the tested materials, such that they can then be later used for implant. This set up is used to test the eversion pressure of either the raw material or the finished device. Raw material may be tested as an incoming quality assurance inspection to ensure consistency of the material. The overall concept described below is similar to the water-based test configuration.

Referring to FIG. 3B, the anchor 305 of a 25 mm diameter device is sealably attached to the interior of a 25 mm diameter silicone tube 380. The attached sleeve 310' is tied off at some distance from the anchor 305 (e.g., 6 inches from the anchor). The closed sleeve 310' is then extended within the tube 380 distal to the anchor 305. Air is supplied to the bottom of the tube 380 from a regulated air supply 355, such as a regulated air compressor through a flow-control system. The output of the air supply 355 is coupled through a needle valve 360 to one end of a flow meter 365. The other end of the flow meter 365 is coupled to one end of a check valve 370. The other end of the check valve is coupled to one end of the tube 380. A pressure-measuring device, such as a manometer 375 is coupled between the check valve 370 and the tube 380 to measure the pressure applied to the tube.

In operation, the check valve 370 is closed while a device under test is inserted into the tube 380. The device under test may be either samples of raw sleeve material or finished implants including eversion-resistant features. The needle valve 360 may be set to a pre-established flow rate such that the pressure will rise within the tube at a desired rate (i.e., not too fast to allow an operator to record pressure readings from the manometer 375. The check valve 370 is opened applying air pressure to the tube 380. As the pressure increases above the eversion-threshold pressure, the sleeve 310" will evert through the center of the anchor 305 as shown in phantom. The corresponding maximum pressure at which the sleeve everted is recorded as the corresponding eversion pressure.

Either test configuration may be used to measure corresponding eversion pressures of devices with or without eversion-resistant features. Thus, comparative

results between the two measurements provides a performance measure of any improvement provided by the eversion-resistant feature.

In some embodiments as shown in FIG. 4A, an implant device 400 includes an anchor 405 defining an interior lumen having a first diameter  $D_1$  coupled to a sleeve 410 defining an interior lumen having a second diameter  $D_2$ . For example, the anchor includes a first diameter that is greater than the sleeve's diameter (i.e.,  $D_1 > D_2$ ). This configuration is advantageous at least in gastrointestinal applications in which a seal between the anchor and the body lumen is desired. Thus, the anchor 405 functions in part as a radial spring, providing an outward force against the surrounding tissue when implanted. In order to provide the outward force, the resting diameter of the anchor is larger than the diameter when implanted.

A tapered eversion-resistant feature 415 can be applied between the anchor 405 and the sleeve 410, the feature 415 providing a transition from one diameter to another. For example, the eversion-resistant feature 415 is an open cone transitioning from  $D_1$  to  $D_2$ . The eversion-resistant feature 415 can include any of the properties described above including increased stiffness and/or friction coefficient. Similarly, these properties can be applied using any of the techniques described herein, the main difference being the tapered shape of the resulting treated area.

FIG. 4B illustrates deformation of the eversion-resistant feature 415 when subjected to retrograde pressures. Preferably, the eversion-resistant feature 415 collapses upon itself whereby the material properties resist eversion thereby blocking any opening through which the distal sleeve 410 may evert.

In some embodiments, an eversion-resistant feature is provided as a compound element providing different properties along different portions of the treated surface area. As shown in FIGS. 5A and 5B, an implant device 500 includes a proximal anchor 505 and a distal sleeve 510. The eversion-resistant feature provided between the anchor 505 and the sleeve 510 is applied resulting in at least two distinguishable regions. A proximal region 515 extends distally for a first length  $L_1$  from the distal end of the anchor 505. A distal region 520 extends distally from the first region 515 for a second length  $L_2$ . The raw sleeve material extends distally from the distal end of the second region.



Such a compound eversion-resistant feature can provide eversion-resistance to both concentric eversions and to eccentric eversions. For example, the proximal region 515 can be configured as a so-called "crumple zone." As the name suggests, when subjected to sufficient retrograde pressures, the proximal region 515 collapses upon itself as described above in reference to FIGS. 2 and 4. The distal region 520 can be configured as a so-called reinforced region having a higher eversion-resistance than the proximal region 515 to resist crumpling at the same pressure. The initial collapse of the proximal region 515 tends to center the distal region 520, such that further collapse of that region occurs towards the center rather than along the edge as the retrograde pressure continues to increase. Collapse of the distal region 520 ultimately blocks the central lumen without everting fully, thereby prohibiting further eversion of the sleeve 510 through the blocked lumen.

A tapered device having a compound eversion-resistant feature is illustrated in FIGS. 6A and 6B. The device 600 includes a proximal anchor having a first diameter  $D_1$  (e.g., about 50 mm) coupled through an eversion-resistant feature to the proximal end of an elongated sleeve having a second diameter  $D_2$  (e.g., about 25 mm). Typically, the sleeve's diameter is less than that of the anchor 605 (i.e.,  $D_1 > D_2$ ). The compound eversion-resistant feature includes a proximal region 615 extending for a first length  $L_1$  (e.g., about 1.5 inches) followed by a distal region 620 extending for a second length  $L_2$  (e.g., about 1.0 inch).

The proximal region 615 can be configured as a crumple zone and the distal region 620 can be configured as a reinforced region. In the presence of sufficient retrograde pressures, the proximal region 615 collapses upon itself first while the distal region remains substantially open. As the pressure continues to increase, the distal region 620 also collapses upon itself, being substantially centered by the initially-collapsed crumple zone 615, thereby avoiding an eccentric eversion.

In some embodiments, tapering from the first  $D_1$  to  $D_2$  is accomplished in the proximal region 615. It is believed that applying a taper to this region may further enhance performance of the eversion-resistant feature by focusing collapse of the material towards the device's longitudinal axis.

Measurement of eccentric eversion susceptibility can be accomplished using an eccentric-measurement test setup. An exemplary test setup is illustrated in FIGS.

7A and 7B. The anchor of an implant device under test is coupled to the interior of a large-diameter silicon tube (e.g., about 40 mm for a 50 mm diameter anchor). A weight is then attached to a distal end of the sleeve at a predetermined distance from the anchor. The weight is raised above the anchor to fully extend the sleeve. For example, the weight can be a metal rod that is placed inside the sleeve, coupled to the sleeve, and dropped from a height of about 6 inches (i.e., about 15 cm) towards the anchor. The metal rod is relatively narrow. For example, a metal rod about 0.5 inches (i.e., about 13 mm) in diameter that weighs about 0.6 pounds (i.e., 0.23 kg) was used for test results provided herein.

The weight is dropped towards the anchor and depending upon the device under test, the weight may travel through the center of the anchor resulting in a concentric eversion, or the weight may travel towards a side of the anchor resulting in an eccentric eversion. The test is repeated a predetermined number of times for the same device under test. Eccentric eversion susceptibility is determined as the percentage of total tries resulting in an eccentric eversion.

Thus, this test can be used to measure the eccentric eversion susceptibility of different devices and is useful in identifying features that reduce or eliminate the eccentric eversion failure mode. Four different devices were tested using the test configuration of FIGS. 7A and 7B. The devices are described in Table 1.

Table 1. Devices Under Test

Design #	Anchor design	Eversion design	Material thickness	Layering method
1	60 mm OD x 0.020" wire diameter	Single cone transition element and short cylinder	0.0010" - 0.0015"	Wrapped
2	50 mm OD x 0.023" wire diameter	Single cone transition element	0.0015" - 0.0020"	Template
3	50 mm OD x 0.023" wire diameter	Single cone transition element and short cylinder	0.0010" - 0.0015"	Wrapped
4	50 mm OD x 0.023" wire diameter (most recent design)	Single cone transition element and long cylinder	Cone is 2 layers (0.0010") Cylinder is 3 layers (0.0015")	Template

Exemplary data resulting from 30 attempts per device for each of the 4 different devices is summarized in Table 2.

5

Table 2. Eccentric Test Results

<u>Device</u>	<u>Concentric</u>	<u>Eccentric</u>	<u>% Eccentric</u>
Design 1	20	10	33.3%
Design 2	13	2	13.3%*
Design 3	30	0	0%
Design 4	30	0	0%

\* 15 tries only - device broke

10 These tests showed that the eversion-resistant features of devices 3 and 4 are much less susceptible to the eccentric-eversion failure mode. These data also are supported by animal evaluations. Designs 1 and 2 had high rates of eccentric eversion in pigs. Design 3 was an early design in which eversions were very rare. Design 4 has also resulted in a device in which eversions are rare in animal testing.

15 An embodiment combining a wave anchor with a compound eversion-resistant feature is illustrated in FIG. 8. The device is similar to that described above in reference to FIG. 6A in that it includes a proximal anchor 705 having a first diameter and a distal elongated sleeve 710 having a second diameter less than the first. A compound eversion-resistant feature includes a proximal region 715 adjacent to the anchor and tapered between the first and second diameters. A reinforced region 720 is provided between the proximal region 715 and the proximal sleeve 710. The anchor 705, however, is illustrated in more detail. In particular, the anchor can be a wave anchor defining multiple oscillations about a central lumen as described in U.S. Application Serial No. 10/858,852, filed on June 1, 2004, and entitled "Method and Apparatus for Anchoring Within the Gastrointestinal Tract"

20 incorporated herein by reference in its entirety. As shown, the proximal portion of the sleeve can be tailored to the boundary defined by the anchor resulting in the tulip-petal shape. The anchor, when implanted is reduced in diameter slightly by the local anatomy of the body lumen. Beneficially, the outward radial spring force

25

provided by the partially-compressed anchor results in a sealable connection between the proximal end of the device and the interior surface of the body lumen.

The spring force of the anchor provides some anchoring force to maintain the anchor in a predetermined location. However, the anchor can be attached to the local anatomy using one or more external connecting means. For example, the anchor can be sutured in place, coupled using surgical staples, and/or coupled using surgical adhesives. Preferably, the anchor is attached to the anatomy in a removable fashion. For example, the anchor can optionally include one or more barbs 725 or spines protruding outward and adapted to engage the surrounding muscular tissue.

Alternatively or in addition, the device can include one or more features adapted to facilitate removal of the device. For example, the device can include one or more drawstrings 730 at its proximal end. The drawstrings are slideably attached to the proximal ends of the anchor and are adapted to centrally collapse the anchor when suitably engaged. Preferably, the collapse pulls any barbs out of the surrounding tissue prior to removal to avoid unnecessary tissue damage. A separate removal device can then be used to remove the device as described in pending U.S. Provisional Application No. 60/663,352, filed on March 17, 2005, and entitled "Removal and Repositioning Devices," incorporated herein by reference in its entirety.

FIG. 9 shows a cross-sectional view of a portion of a duodenum 750 with a device implanted therein. The anchor 705 is situated in the proximal duodenum in an area referred to as the bulbous duodenum 765, located distal to the pyloric sphincter 755 and proximal to the ampulla of vater 760. The anchor 705 is partially compressed resulting in a fluid seal between it and the surrounding intestine wall. The sleeve 710 extends distally into the duodenum 750 and, depending upon its length, beyond the duodenum into distal parts of the small intestine not shown.

FIG. 10 shows a cross section of one embodiment of the sleeve 700 shown in FIG. 9 using overlapping material to form the different regions of the compound eversion feature. Starting at the proximal end, a wave anchor 705 is surrounded by an inner and outer layer of the sleeve material. The proximal anti-eversion region 715, or tapered crumple zone, is similarly formed using two layers of the same sleeve material. Preferably, some amount of overlap  $O_1$  is provided to facilitate

attachment of the covered anchor 705 to the proximal end of the crumple zone 715. For example, the two regions may be attached using an adhesive. Alternatively or in addition, the two regions may be attached using a mechanical fastener such as a suture. Preferably, however, thermal bonding is used to sealably connect the two  
5 regions together along the periphery of the device within the overlapping region O<sub>1</sub>.

A proximal end of the sleeve similarly overlaps a distal end of the crumple zone by a length O<sub>2</sub> to facilitate attachment of the two regions. Any of the above means of attaching can be used to form the attachment. A second and third layers are added just distal to the end of the crumple zone 715, thereby forming a  
10 reinforced region 720 having three layers of sleeve material. As shown, the outermost layer 725 of the reinforcing region 720 may extend beyond the second layer 727 and attach to the outer surface of the sleeve 710 to form a smooth transition.

Although a gastrointestinal sleeve is described as an exemplary embodiment, other applications include arterial grafts, esophageal prostheses, and other  
15 gastrointestinal prostheses, such as biliary sleeves.

While this invention has been particularly shown and described with references to preferred embodiments thereof, it will be understood by those skilled in the art that various changes in form and details may be made therein without departing from the scope of the invention encompassed by the appended claims.

## CLAIMS

What is claimed is:

1. A device for implanting within an animal body comprising:
  - an anchor adapted for attachment within a natural body lumen;
  - 5 a thin-walled, floppy sleeve open at both ends and defining a lumen therebetween, a substantial length of the sleeve having material characteristics that result in the sleeve being prone to eversion; and
  - an eversion-resistant feature disposed between the anchor and the proximal end of the sleeve that inhibits eversion of the sleeve in the presence of retrograde pressures.
- 10 2. The device of claim 1, wherein the eversion-resistant feature comprises increased stiffness relative to the sleeve's stiffness.
- 15 3. The device of claim 2, wherein the increased stiffness is provided by a different material than that of the sleeve.
4. The device of claim 2, wherein the increased stiffness is provided by an increased wall thickness of the same material relative to that of the sleeve.
- 20 5. The device of claim 4, wherein the increased wall thickness comprises more than one layer of material.
6. The device of claim 2, wherein the increased stiffness is provided by a reinforcing member.
- 25 7. The device of claim 1, wherein a surface of the eversion-resistant feature has a coefficient-of-friction greater than that of a corresponding surface of the sleeve.

30

8. The device of claim 7, wherein the eversion-resistant feature comprises a different material having a coefficient of friction greater than that of the thin-walled, floppy sleeve.
- 5 9. The device of claim 7, wherein at least a portion of the exterior surface of the eversion-resistant feature is textured.
10. The device of claim 1, further comprising a centering element adapted to focus collapse of the eversion-resistant element towards the longitudinal axis  
10 of the anchor.
11. The device of claim 10, wherein the centering element comprises:  
a proximal crumple zone; and  
a distal reinforcing element coupled to the crumple zone, the crumple  
15 zone collapsing before the reinforcing element in the presence of retrograde pressures.
12. The device of claim 11, wherein the crumple zone comprises a tapered cone defining a proximal opening having a first diameter and a distal opening  
20 having a second diameter less than the first, a sufficient retrograde pressure moving the distal opening proximally while inhibiting lateral movement towards the walls of the body lumen.
13. The device of claim 1, wherein the eversion-resistant element is adapted to  
25 partially collapse upon itself thereby forming a valve allowing flow in an antegrade direction, while prohibiting flow in a retrograde direction thereby blocking eversion of the sleeve.
14. A method for inhibiting eversion of a sleeve device when implanted within a  
30 natural body lumen comprising:

providing an eversion-resistant feature at a proximal end of a thin-walled, floppy sleeve, the eversion-resistant feature having an eversion-threshold pressure greater than that of the sleeve itself; and

5 anchoring the proximal end of the sleeve with eversion-resistant feature in the lumen.

15. The method of claim 14, further comprising the steps of increasing the stiffness of a proximal portion of the thin-walled, floppy sleeve.
- 10 16. The method of claim 15, wherein the step of increasing the stiffness comprises providing a different material relatively stiffer than the sleeve.
17. The method of claim 15, wherein the step of increasing the stiffness comprises providing more than one layer of material.
- 15 18. The method of claim 17, wherein the step of increasing the stiffness comprises coupling a reinforcing member to the proximal end of the sleeve.
19. The method of claim 14, further comprising the steps of increasing the coefficient of friction along an exterior surface a proximal portion of the thin-walled, floppy sleeve.
- 20 20. The method of claim 19, wherein the step of increasing the coefficient of friction comprises providing a different material.
- 25 21. The method of claim 19, wherein the step of increasing the coefficient of friction comprises texturing the exterior surface.
22. The method of claim 14, further comprising the step of focusing collapse of the eversion-resistant element towards the longitudinal axis of the anchor in the presence of retrograde pressures to inhibit eccentric eversions.
- 30

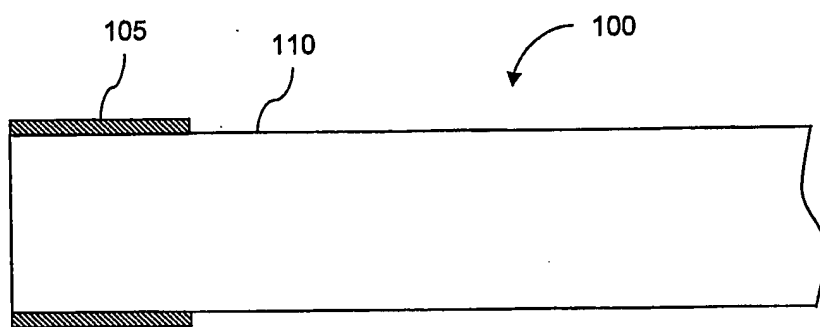
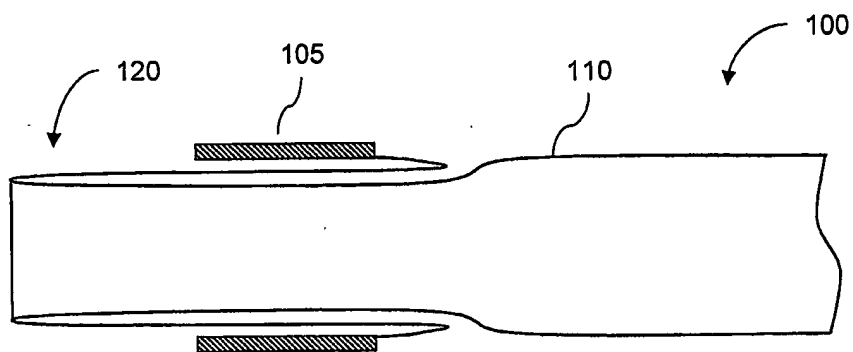
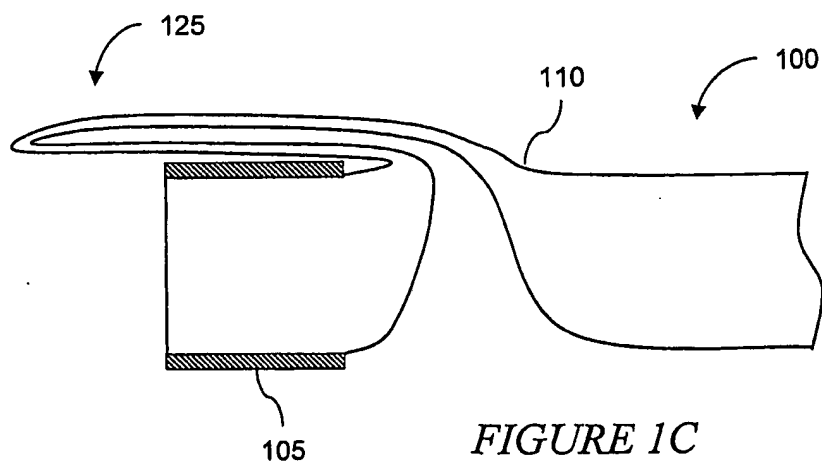


23. The method of claim 14, further comprising the step of inducing a partial collapse of the eversion-resistant element upon itself, thereby forming a valve allowing flow in an antegrade direction, while prohibiting flow in a retrograde direction in the presence of retrograde pressures.
- 5
24. A device for implanting within an animal body comprising:  
means for anchoring a proximal end of the device within a natural body lumen, the device including an elongated, thin-walled, floppy sleeve open at both ends and defining a lumen therebetween, a substantial length of the sleeve having material characteristics that result in the sleeve being prone to evert proximally to the anchor; and  
means for resisting eversion of any portion of the sleeve proximally to the anchoring means in the presence of retrograde pressures.
- 10
25. A device for implanting within an animal body comprising:  
an anchor adapted for attachment within a natural body lumen;  
a thin-walled, floppy sleeve open at both ends and defining a lumen therebetween, a substantial length of the sleeve having material characteristics that result in the sleeve being prone to eversion; and  
an eversion-resistant feature disposed along a substantial length of the sleeve adapted to inhibit eversion of the sleeve in the presence of retrograde pressures.
- 15
26. The device of claim 25, wherein increased stiffness is provided by an anti-buckling member.
- 20
27. The device of claim 26, wherein the anti-buckling member is a wire coupled to the sleeve.
- 25
28. A device for implanting within an animal body comprising:  
an anchor adapted for attachment within the small intestine of an animal body;
- 30

a thin-walled, floppy sleeve open at both ends and defining a lumen therebetween and adapted to extend within the small intestine, a substantial length of the sleeve having material characteristics that result in the sleeve being prone to eversion; and

5           an eversion-resistant feature that inhibits eversion of the sleeve in the presence of retrograde pressures.

29.   The device of claim 28, wherein the eversion-resistant feature is disposed between the anchor and a proximal, non-insubstantial length of the thin-walled, floppy sleeve.
- 10           30.   The device of claim 28, wherein the eversion-resistant feature extends along a substantial length of the thin-walled, floppy sleeve.
- 15           31.   The device of claim 30, wherein the eversion-resistant feature comprises an anti-buckling member.
32.   The device of claim 31, wherein the anti-buckling member includes a wire.
- 20           33.   The device of claim 28, wherein a relaxed diameter of the anchor is at least about 40 mm.

*FIGURE 1A**FIGURE 1B**FIGURE 1C*

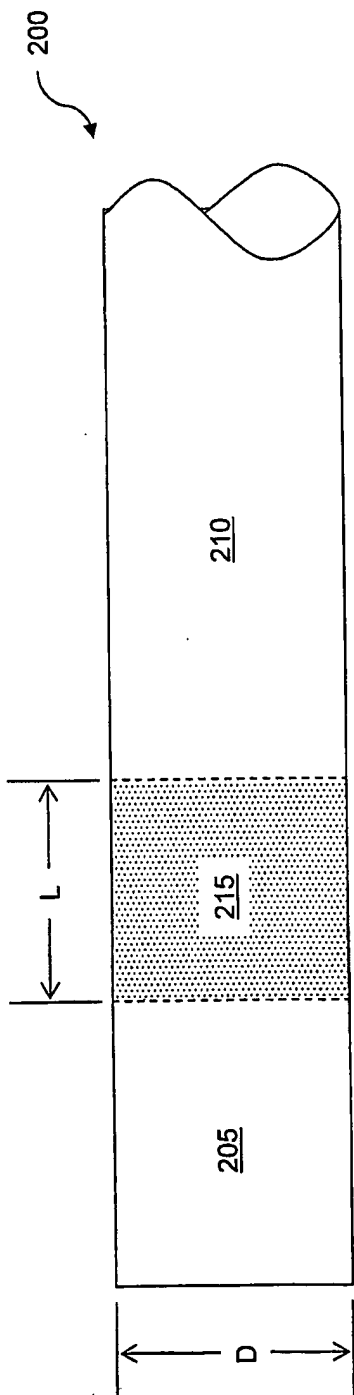


FIGURE 2A

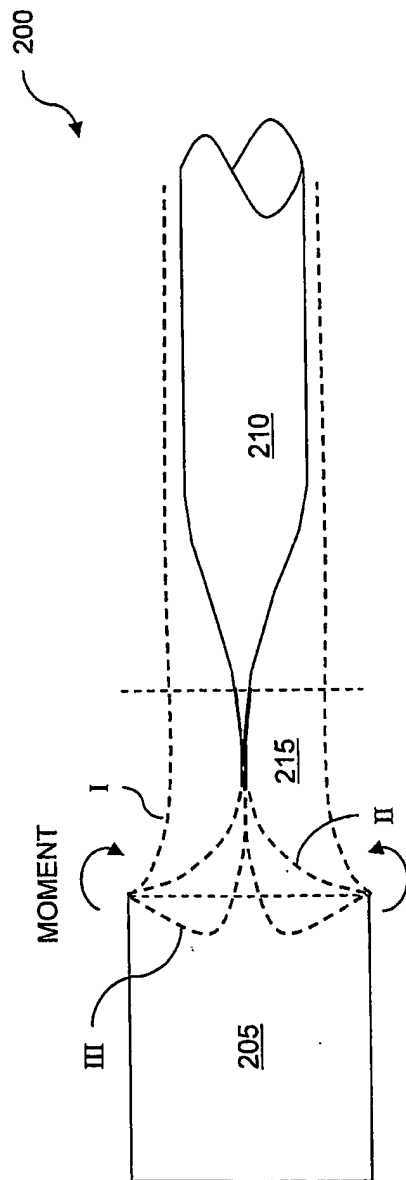


FIGURE 2B

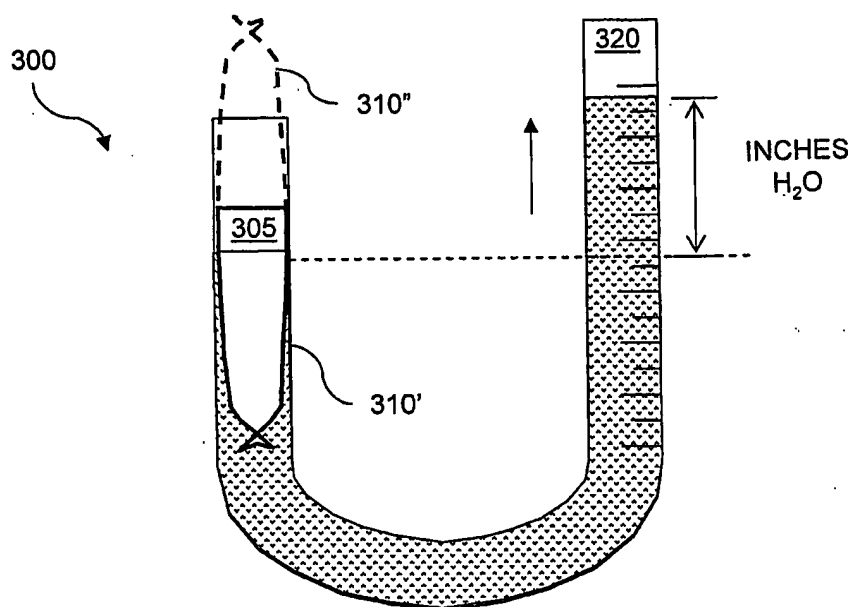


FIGURE 3A

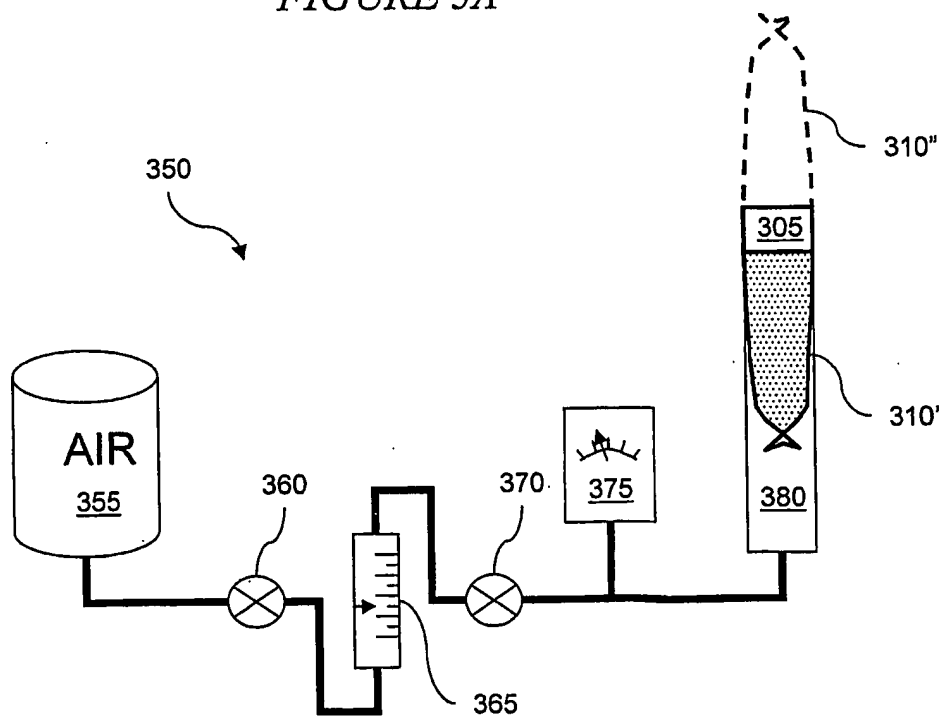
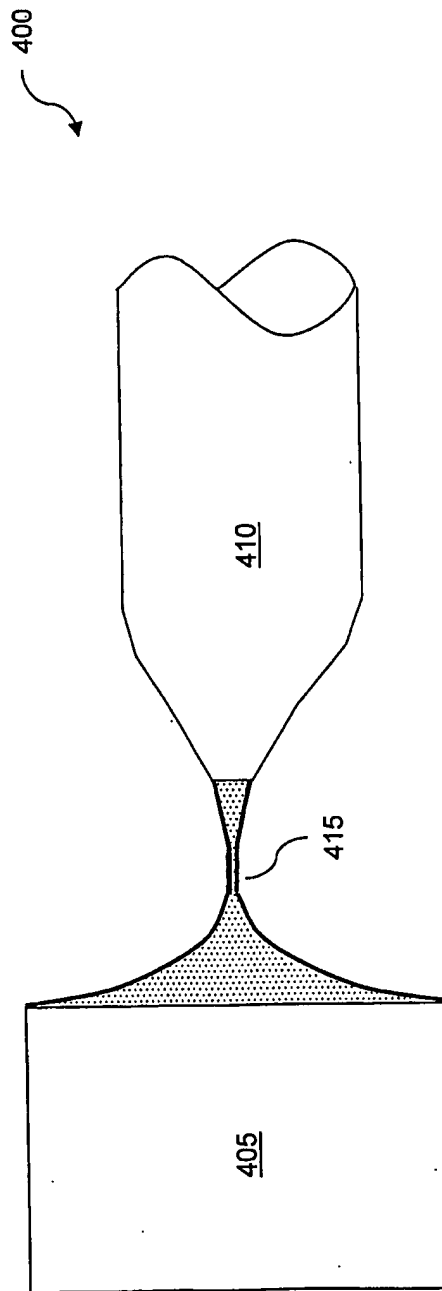
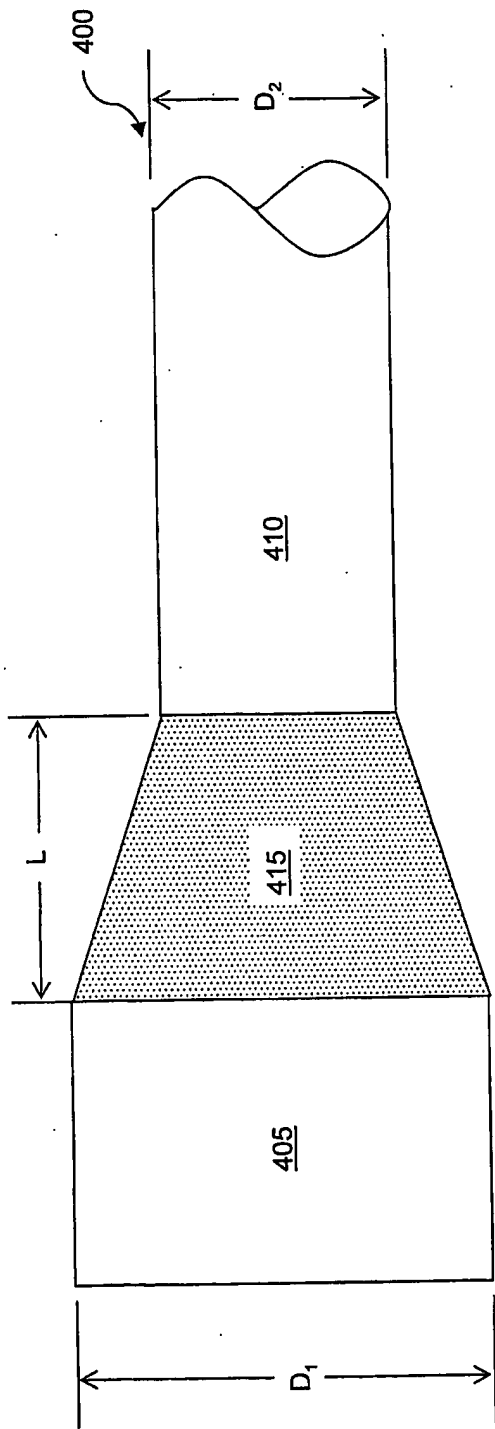


FIGURE 3B



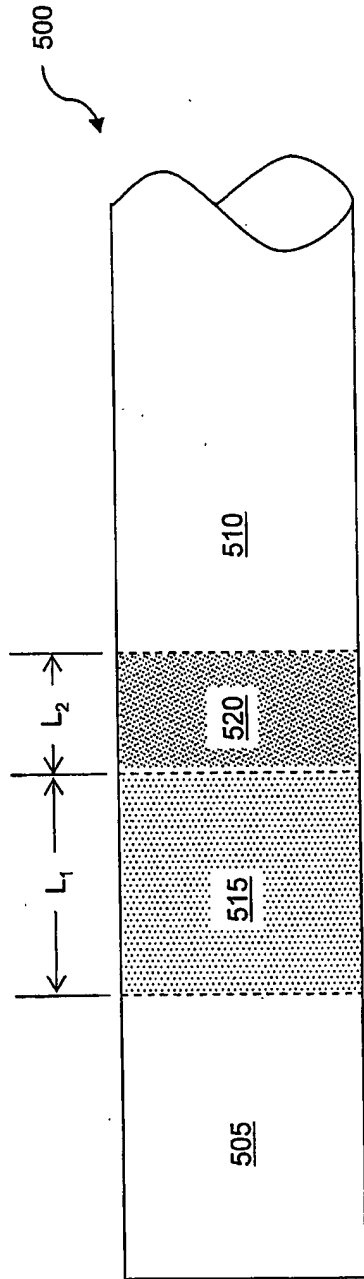


FIGURE 5A

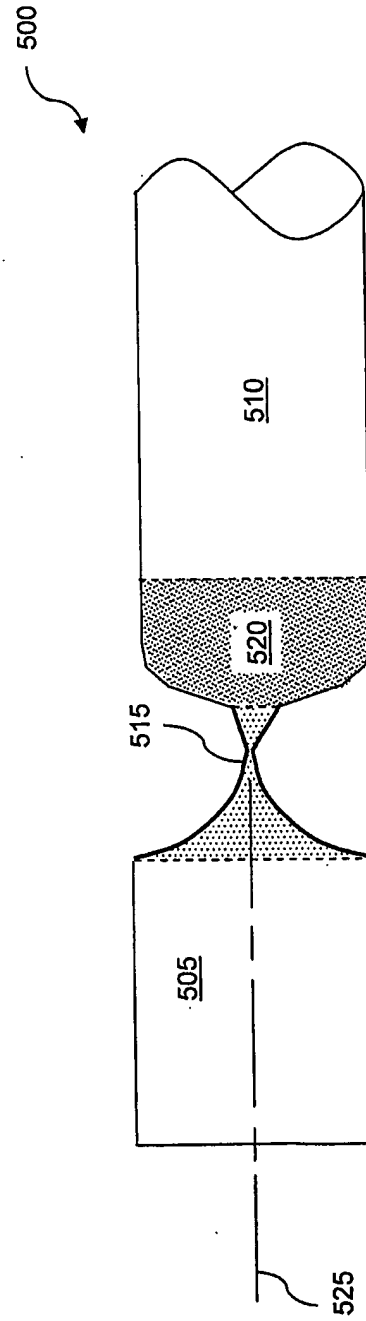


FIGURE 5B

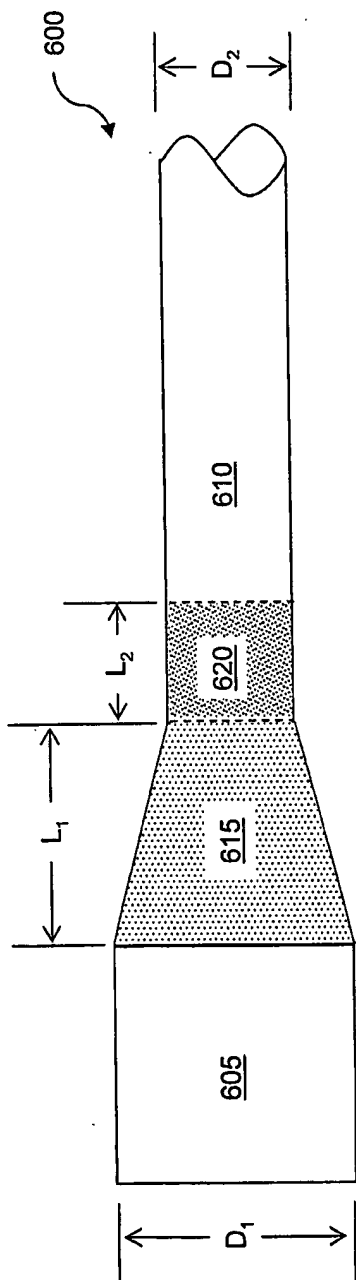


FIGURE 6A

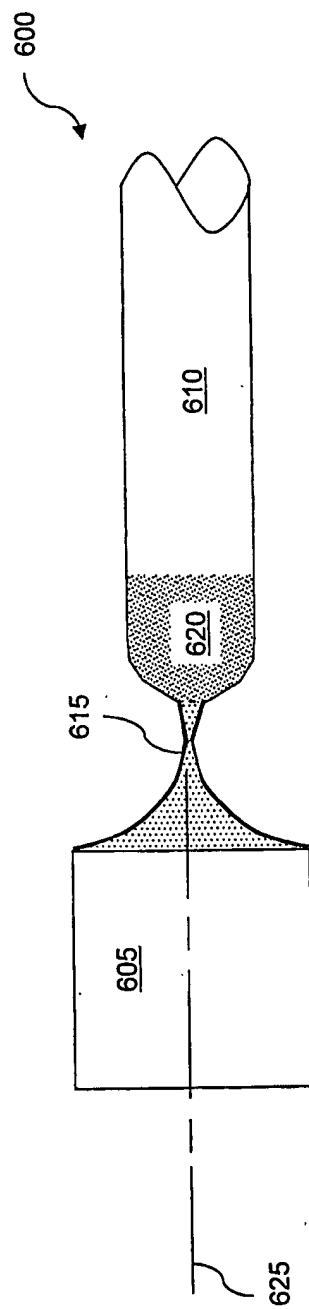


FIGURE 6B



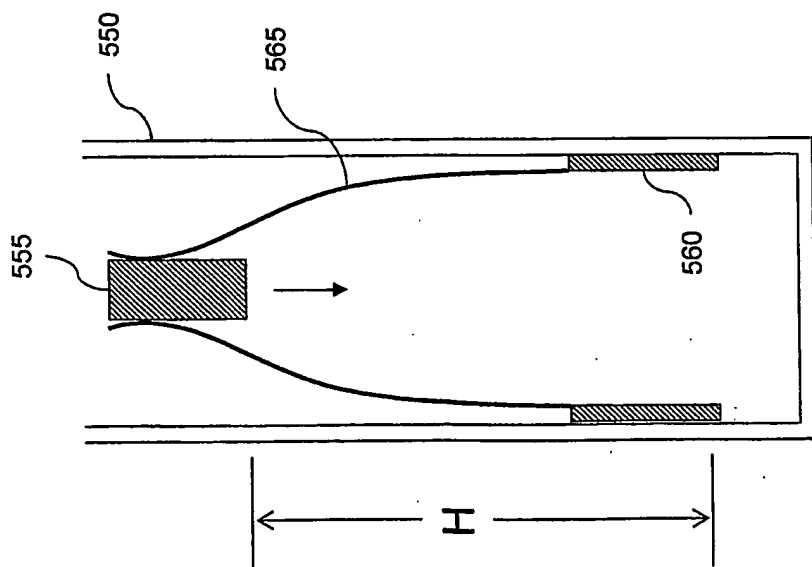


FIGURE 7A

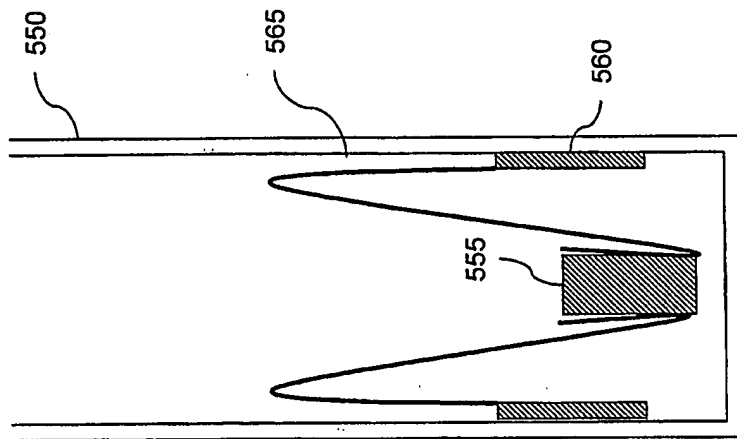


FIGURE 7B

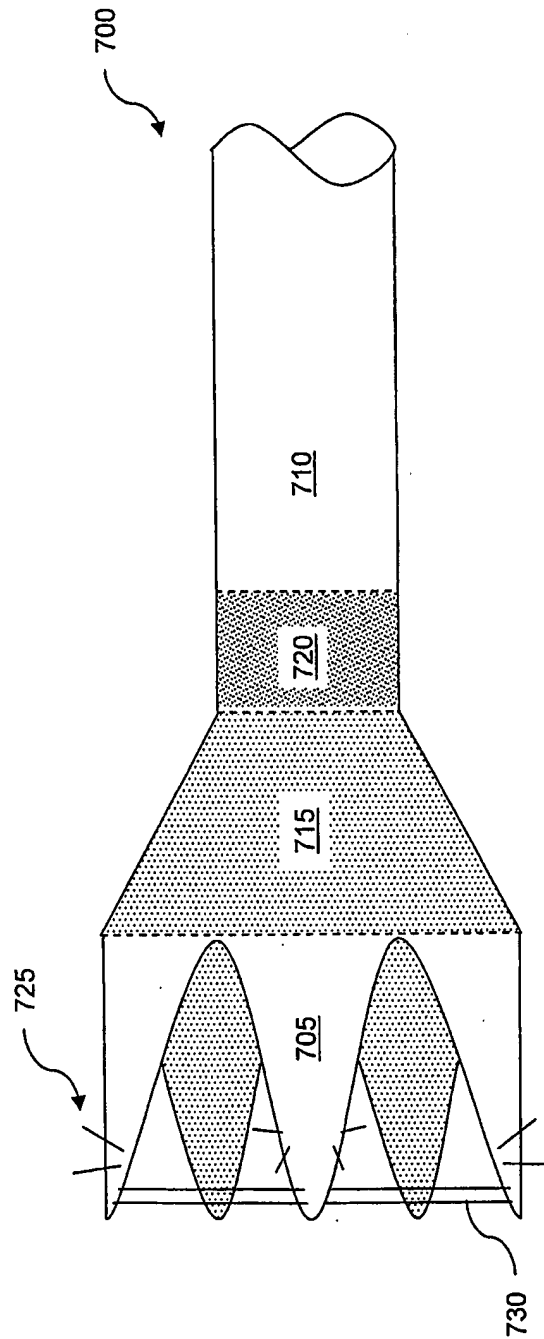


FIGURE 8

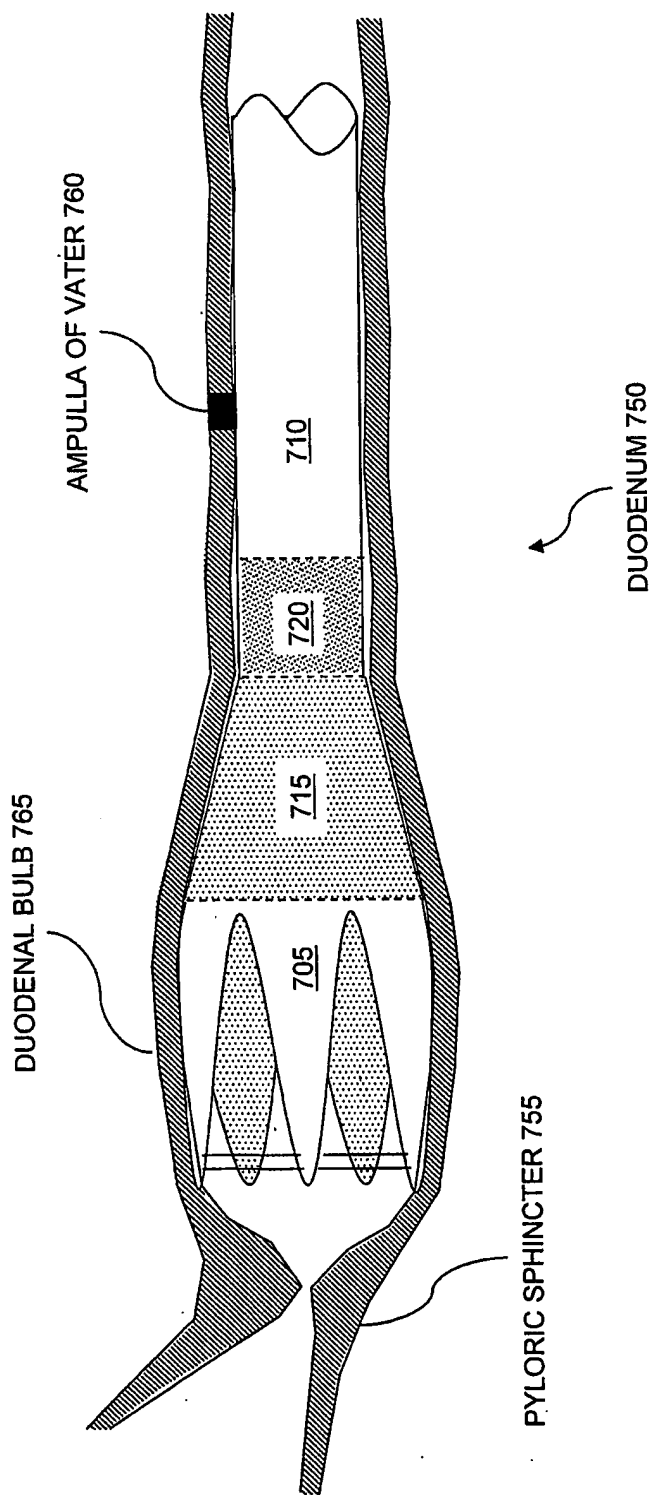


FIGURE 9

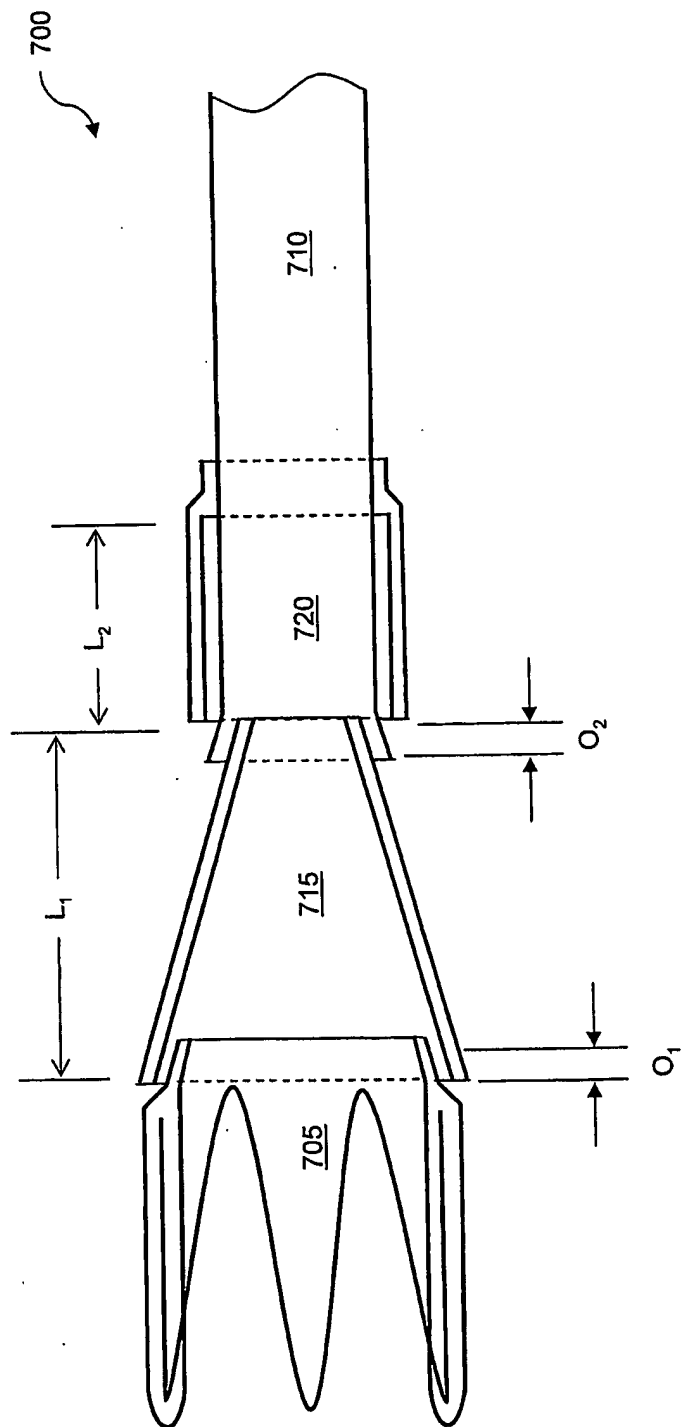


FIGURE 10

# INTERNATIONAL SEARCH REPORT

International application No  
PCT/US2006/002073

## A. CLASSIFICATION OF SUBJECT MATTER

INV. A61F5/00

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the International search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2004/092892 A1 (KAGAN JONATHAN ET AL) 13 May 2004 (2004-05-13)	1-3, 6, 24-33
Y	paragraph [0241] - paragraph [0247]; figures 11-14	4, 5, 7-9
X	WO 2004/049982 A (GI DYNAMICS, INC; LEVINE, ANDY, H; CVINAR, JOHN, F; MELANSON, DAVE; ME) 17 June 2004 (2004-06-17) page 26, line 5 - line 13; figure 27 abstract	24, 25, 28, 30
Y	US 2003/040808 A1 (STACK RICHARD S ET AL) 27 February 2003 (2003-02-27) paragraph [0056] - paragraph [0057]	4, 5
Y	US 2004/019388 A1 (STARKEBAUM WARREN L) 29 January 2004 (2004-01-29) paragraph [0078]	7-9

☐ Further documents are listed in the continuation of Box C.

☒ See patent family annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*G\* document member of the same patent family

Date of the actual completion of the international search

6 June 2006

Date of mailing of the international search report

13/06/2006

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Authorized officer

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# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US2006/002073

## Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 14-23  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/US2006/002073

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